10/ 787 121

PTO/SB/17 (12-04v2) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Patent#: 6,953,720 Application Number RANSMITTAL Issued: October 11, 2005 Filing Date John T. Moore First Named Inventor For FY 2005 Examiner Name H. J. Tsai Applicant claims small entity status. See 37 CFR 1.27 2812 Art Unit Attorney Docket No. M4065.0564/P564-A **TOTAL AMOUNT OF PAYMENT** 100.00 METHOD OF PAYMENT (check all that apply) Check x Credit Card Money Order None Other (please identify): Deposit Account Number: 04-1073 Deposit Account Name Dickstein Shapiro Morin & Oshinsky LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Utility 300 150 500 250 200 100 200 100 50 130 65 Design 100 200 100 300 150 160 80 Plant 300 150 500 250 600 300 Reissue Certificate 200 100 Provisional Small Entity 2. EXCESS CLAIM FEES JAN 1 0 2006 Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 25 50 Each independent claim over 3 (including Reissues) of Correction 200 100 Multiple dependent claims 360 180 Fee Paid (\$) **Multiple Dependent Claims Total Claims Extra Claims** Fee Paid (\$) Fee (\$) Fee Paid (\$) Indep. Claims **Extra Claims** Fee (\$) 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) - 100 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1811 Certificate of correction 100.00

Registration No.

(Attorney/Agent)

28,371

Telephone

Date

SUBMITTED BY

Name (Print/Type)

Thomas J. D'Amico

Signature

(202) 828-2232

January 6, 2006



Docket No.: M4065.0564/P564-A

(PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of: John T. Moore et al.

Patent No.: 6,953,720

Issued: October 11, 2005

For: METHODS FOR FORMING

**CHALCOGENIDE GLASS-BASED** 

MEMORY ELEMENTS

# REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 CFR 1.322 & 1.323

Attention: Certificate of Correction Branch Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentee noted omissions and typographical errors which should be corrected.

In the U.S. Patent Documents portion of the Reference Cited section, the following patent was omitted by the PTO and should be added (Exhibit A, attached, lists this patent):

6,707,712

3/2004

Lowery

01/09/2006 JADDO1

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Patent No.: 6,953,720 Docket No.: M4065.0564/P564-A

In the Other Publications portion of the References Cited section, the PTO omitted the following two references which should be added (Exhibit B, attached, lists these publications:

Chen, G.; Cheng, J., Role of nitrogen in the crystallization of silicon nitride-doped chalcogenide glasses, J. Am. Ceram. Soc. 82 (1999) 2934-2936.; and

Thornburg, D.D., Memory switching in amorphous arsenic triselenide, J. Non-Cryst. Solids 11 (1972) 113-120.

In the Other Publications portion of the References Cited section, the PTO made the following errors which should be corrected:

"Bernede, J.C. Polarized memory switching in MIS thin films, Thin Solid Films 87 (1981) 155-160."

# Should Read

--Bernede, J.C. Polarized memory switching in MIS thin films, Thin Solid Films 81 (1981) 155-160.--;

"Feng, X.; Bresser, W.J.; Zhang, M.; Goodman, B.; Boolchand, P., Role of network connectivity on the elastic, plastic and thermal behavior of covalent glasses, J. Non-Cryst. Solids 222 (1997) 134-143."

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--Feng, X.; Bresser, W.J.; Zhang, M.; Goodman, B.; Boolchand, P., Role of network connectivity on the elastic, plastic and thermal behavior of covalent glasses, J. Non-Cryst. Solids 222 (1997) 137-143.--;

"Messoussi, R.; Bernede, J.C.; Benhida, S.; Abachi, T.; Latef, A., Electrical characterization of M/Se structures (M=NI, BI), Mat. Chem, And Physics 28 (1991) 253-258."

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"Snell, A.J.; Hajto, J.; Rosa, M.J.; Osborne, L.S.; Holmes, A.; Owen, A.E.; Gibson, R.A.G., Analogue memory effects in metal/a -Si:H/metal thin films structures, Mat. Res. Soc. Symp. Proc. V 297, 1993, 1017-1021."

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In the Other Publications portion of the References Cited section, Applicants made the following error which should be corrected:

"Rose, M.J.; Snell, A.J.; Lecomber, P.G.; Hajto, J.; Fitzgerald, A.G.; Owen, A.E., Aspects of non-volatility in a –SI:H memory devices, Mat. Res. Soc. Symp. Proc. V 258, 1992, 1075-1080"

# Should read

--Rose, M.J.; Snell, A.J.; Lecomber, P.G.; Hajto, J.; Fitzgerald, A.G.; Owen, A.E., Aspects of non-volatility in metal/a –Si:H/metal memory devices, Mat. Res. Soc. Symp. Proc. V 258, 1992, 1075-1080.--.

In the Specification, Applicants made the following error to be corrected:

Column 3, line 56, "to second" should read --to a second--.

In the Specification, the PTO made the following error to be corrected:

Column 7, line 63, "carried Out" should read --carried out--.

The errors were made primarily by the PTO with just two found in the application as filed by Applicants. Please charge our Credit Card in the amount of

Patent No.: 6,953,720 Docket No.: M4065.0564/P564-A

\$100.00 covering the fee set forth in 37 CFR 1.20(a). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed.

The errors now sought to be corrected are inadvertent omissions and typographical errors the correction of which does not involve new matter or require reexamination.

Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentees respectfully solicit the granting of the requested Certificate of Correction.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. M4065.0564/P564-A.

Dated: January 6, 2006

Respectfully submitted,

Thomas J. D'Amico

Registration No.: 28,371

Elizabeth Parsons

Registration No.: 52,499

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicants



# **Exhibit A**

PTC/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0851-0031
U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

Sul	estitute for form 1449A/E	3/PTO		Complete If Known		
				Application Number	10/787,121	
11	NFORMATIO	ON DISC	LOSURE	Filing Date	February 27, 2004	
S	TATEMENT	BY AP	PLICANT	First Named Inventor	John T. Moore	
				Art Unit	2812	
	(Use es many	sheets as nec	essary)	Examiner Name	Not Yet Assigned	
Sheet	3	of	3		M4065.0564/P564-A	

<u> </u>	R3	US 6,646,297	11/2003	Dennison	
	<b>S3</b>	US 6,649,928	11/2003	Dennison	
	Т3	US 6,667,900	12/2003	Lowery et al.	
	U3	US 6,671,710	12/2003	Ovshinsky et al.	
	V3	US 6,673,648	1/2004	Lowrey	
	W3	US 6,673,700	1/2004	Dennison et al.	
	ХЗ	US 6,674,115	1/2004	Hudgens et al.	X
	Y3	US 6,687,427	2/2004	Ramalingam et al.	
	<b>Z3</b>	US 6,690,026	2/2004	Peterson	
	A4	US 6,696,355	2/2004	Dennison	
	B4	US 6,687,153	2/2004	Lowery	
	C4	US 6,707,712	3/2004	Lowery	
7	D4	US 6,714,954	3/2004	Ovshinsky et al.	

			$\tau$			
Examiner tnitials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code*-Number*-Kind Code* (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patient Documents at <a href="https://www.uspio.gov">www.uspio.gov</a> or MPEP 801.04. Enter Office that issued the document, by the two-letter code (MPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patient document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. Applicant is to place a check mark here if English language Translation is attached.

•		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

A- For TSNOT 5/4/05



# **Exhibit B**





Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	stitute for form 1449B	/PTO		Complete If Known		
				Application Number	Not Yet Assigned	
IN	FORMATI	ON DI	SCLOSURE	Filing Date	March 1, 2004	
S	TATEMEN	TBY	APPLICANT	First Named Inventor	John T. Moore	
				Group Art Unit	Not Yet Assigned	
	(use as man	y sheets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	3	of	8	Attorney Docket Number	M4065.0564/P564-A	

Sneet		3	01	8	Attorney Docket Number M4085.0584/P564-A
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	CC1	Boolchan GexSe1-x	d, P.; G glasse	rothaus, J.; Phillips, J.C s, Solid state comm. 45	c., Broken chemical order and phase separation in (1983) 183-185, **
	CD1	Boolchane connectivi Cincinnati	d, P., Bi ity and i (Octob	resser, W.J., Compositi nanoscale chemical ph er 28, 1999) 45221-00	onal trends in glass transition temperature (Tg), network ase separation in chalcogenides, Dept. of ECECS, Univ. 30. **
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	Cl1	Cahen, D. Temperat (1992) 27	.; Gilet, ure, ele 1-274.	JM.; Schmitz, C.; Che ctric field induced creat	myak, L.; Gartsman, K.; Jakubowicz, A., Room- ion of stable devices in CuinSe2 Crystals, Science 258
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	CK1	Chen, C.F Appl. Phys	i.; Tai, i <u>s.</u> Lett. :	C.L. , Whisker growth in 37 (1980) 1075-1077.	duced by Ag photodoping in glassy GexSe1-x films,
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	CQ1	Davis, E.A	., Semi	conductors without for	n, Search 1 (1970) 152-155. **
	CR1	Deamaley Rep. Prog	, G.; St . Phys.	oneham, A.M.; Morgan 33 (1970) 1129-1191.	D.V., Electrical phenomena in amorphous oxide films,
	¢S1	Dejus, RJ	i.; Susn	ian, S.; Volin, K.J.; Mor Solids 143 (1992) 162-	tague, D.G.: Price, D.L., Structure of Vitreous An-Ge-
Ţ	СТ1	den Boer, (1982) 812	W., Thr	eshold switching in hyd	lrogenated amorphous silicon, Appl. Phys. Lett. 40
abla	CU1				e, F., The hydrogenated amorphous

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PTC/SB/08B (10-01)

Approved for use through 10/31/2002.OMB 0681-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002.OMB 0681-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002.OMB control riumber. Under the Paperwork Reduction Act of 1985, no pe

Complete If Known Substitute for form 1449B/PTO **Application Number** Not Yet Assigned INFORMATION DISCLOSURE Filing Date March 1, 2004 STATEMENT BY APPLICANT First Named Inventor John T. Moore Group Art Unit Not Yet Assigned (use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet of 8 Attorney Docket Number M4065.0564/P564-A

			1373-1377. **	<b>—</b>			
	1	CM5	Tanaka, K.; Iizima, S.; Sugi, M.; Okada, Y.; Kikuchi, M., Thermal effects on switching phenomenon in chalcogenide amorphous semiconductors, Solid State Comm. 8 (1970) 387-389. **				
		CN5	Thomburg, D.D., Memory switching in a Type I amorphous chalcogenide, J. Elect. Mat. 2 (1973) 3-15. **	П			
*		CO5	Thomburg, D.D., Memory switching in amorphous arsenic triselenide, J. Non-Cryst. Solids 11 (1972) 113-120. **	П			
	CP5 Thornburg, D.D.; White, R.M., Electric field enhanced phase separation and memoin amorphous arsenic triselenide, Journal(??) (1972) 4609-4612. **						
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		CD5	Viger, C.; Lefrancols, G.; Fleury, G., Anomalous behaviour of amorphous selenium films, J. Non-Cryst. Solids 33 (1976) 267-272. **	$\prod$			
Į		CX5	Vodenicharov, C.; Parvanov,S.; Petkov,P., Electrode-limited currents in the thin-film M-GeSe-M system, Mat. Chem. And Phys. 21 (1989) 447-454.	T			
		CY5	Wang, SJ.; Misium, G.R.; Camp, J.C.; Chen, KL.; Tigelaar, H.L., High-performance Metal/silicide antifusé, IEEE electron dev. Lett. 13 (1992)471-472.	10 mg			
		CZ5	Welrauch, D.F., Threshold switching and thermal filaments in amorphous semiconductors, App. Phys. Lett. 16 (1970) 72-73. **				
		CA6	West, W.C.; Sieradzki, K.; Kardynal, B.; Kozicki, M.N., Equivalent circuit modeling of the Ag As0.24S0.36Ag0.40 Ag System prepared by photodissolution of Ag, J. Electrochem. Soc. 145 (1998) 2971-2974 **				
		CB6	West, W.C., Electrically erasable non-volatile memory via electrochemical deposition of multifractal aggregates, Ph.D. Dissertation, ASU 1998				
	M	CC6	Zhang, M.; Mancini, S.; Bresser, W.; Boolchand, P., Variation of glass transition temperature, Tg. with average coordination number, <m>, in network glasses: evidence of a threshold behavior in the slope [dTg/d<m>] at the rigidity percolation threshold (<m>=2.4), J. Non-Cryst. Solids 151 (1992) 149-154.</m></m></m>				

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		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 2

PATENT NO.

6,953,720

APPLICATION NO.

10/787,121

ISSUE DATE

October 11, 2005

INVENTOR(S)

John T. Moore et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the U.S. Patent Documents portion of the Reference Cited section, the following patent is added:

6,707,712

3/2004

Lowery

In the Other Publications portion of the References Cited section, the following two references are added:

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Should Read

--Bernede, J.C. Polarized memory switching in MIS thin films, Thin Solid Films 81 (1981) 155-160.--;

MAILING ADDRESS OF SENDER (Please do not use customer number below): Thomas J. D'Amico DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 1 2101 L Street NW Washington, DC 20037-1526

"Feng, X.; Bresser, W.J.; Zhang, M.; Goodman, B.; Boolchand, P., Role of network connectivity on the elastic, plastic and thermal behavior of covalent glasses, J. Non-Cryst. Solids 222 (1997) 134-143."

#### Should read

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MAILING ADDRESS OF SENDER (Please do not use customer number below): Thomas J. D'Amico DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 2 2101 L Street NW Washington, DC 20037-1526